

(12) **UK Patent Application** (19) **GB** (11) **2 350 618** (13) **A**

(43) Date of A Publication 06.12.2000

(21) Application No 9912730.0

(22) Date of Filing 01.06.1999

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(51) INT CL<sup>7</sup>  
**A23D 9/013 , A21D 2/16**

(52) UK CL (Edition R )  
**C5C CPC C405 C410 C415**  
**A2B BLF B345**

(56) Documents Cited  
**GB 2182942 A**    **GB 0997903 A**    **GB 0869614 A**  
**GB 0858237 A**    **GB 0413343 A**    **US 4366181 A**  
**US 3914452 A**

(58) Field of Search  
**UK CL (Edition Q ) A2B BLF , C5C CPC CPF**  
**INT CL<sup>6</sup> A21D 2/16 , A23D 9/013**  
**ONLINE: EPODOC, JAPIO, WPI**

(54) Abstract Title  
**Shortening systems comprising a non-hydrogenated vegetable oil and either a stearine fraction or a saturated fatty acid diglyceride**

(57) A shortening system comprises a mixture of at least one non-hydrogenated vegetable oil and either (i) at least one stearine fraction obtainable from glycerolysis/interesterification of a fat or oil or (ii) at least one diglyceride and optionally also at least one monoglyceride comprised predominantly of saturated acyl fatty acid(s). Preferably, the stearine fraction or the saturated acyl fatty acid is present in an amount of 3-15% by weight, has an enhanced concentration of diglycerides and is derived from a natural food grade fat. The food grade fat may be a saturated fat and/or a plant fat, such as coconut, palm, or palm kernel oil or fats that have been fully hydrogenated. The vegetable oil, which is preferably soybean oil but may also be sunflower, corn, peanut, cottonseed, safflower or olive oil, may be present in amount of 85-97% by weight. The saturated acyl fatty acid(s) may be one or more of lauric, myristic, palmitic or stearic acid. The shortening system may be used in an emulsifier system, as a vehicle for delivery of an emulsifier or to prepare foodstuffs, such as biscuits, bread or crackers and avoids the problems associated with the use of partially hydrogenated oils in shortening systems.

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At least one drawing originally filed was informal and the print reproduced here is taken from a later filed formal copy.

| SFC Curves for Soy Spray #2 and other systems |              |            |                |                    |                                   |
|---|--------------|------------|----------------|--------------------|-----------------------------------|
| Temp. (°f)                                    | Soy Spray #2 | 100% AM721 | RBD + 6% AM721 | RBD + 3% CS/10% ME | Interesteified Blend<br>6442-81-4 |
| 32  | 60           | 69.7       | 1.5            | 12.4               | 23.9                              |
| 50  | 49           | 63.6       | 0.8            | 12.4               | 15.9                              |
| 70  | 26           | 43.6       | 0              | 12.4               | 11.5                              |
| 80  | 18           | 36.4       |                | 11.5               | 10.9                              |
| 92  | 7            | 27.2       |                | 10.2               | 9.6                               |
| 98.6  | 1.5          | 17.4       |                | 8.2                | 7.3                               |
| 104   | 0            | 9.2        |                | 6.7                | 5.6                               |
| 109   |              | 5.6        |                | 4.9                | 4                                 |
| 113   |              | 3.6        |                | 2.9                | 1.9                               |
| 118   |              | 1.6        |                | 1.4                | 0.8                               |
| 122   |              | 0          |                | 0                  | 0                                 |

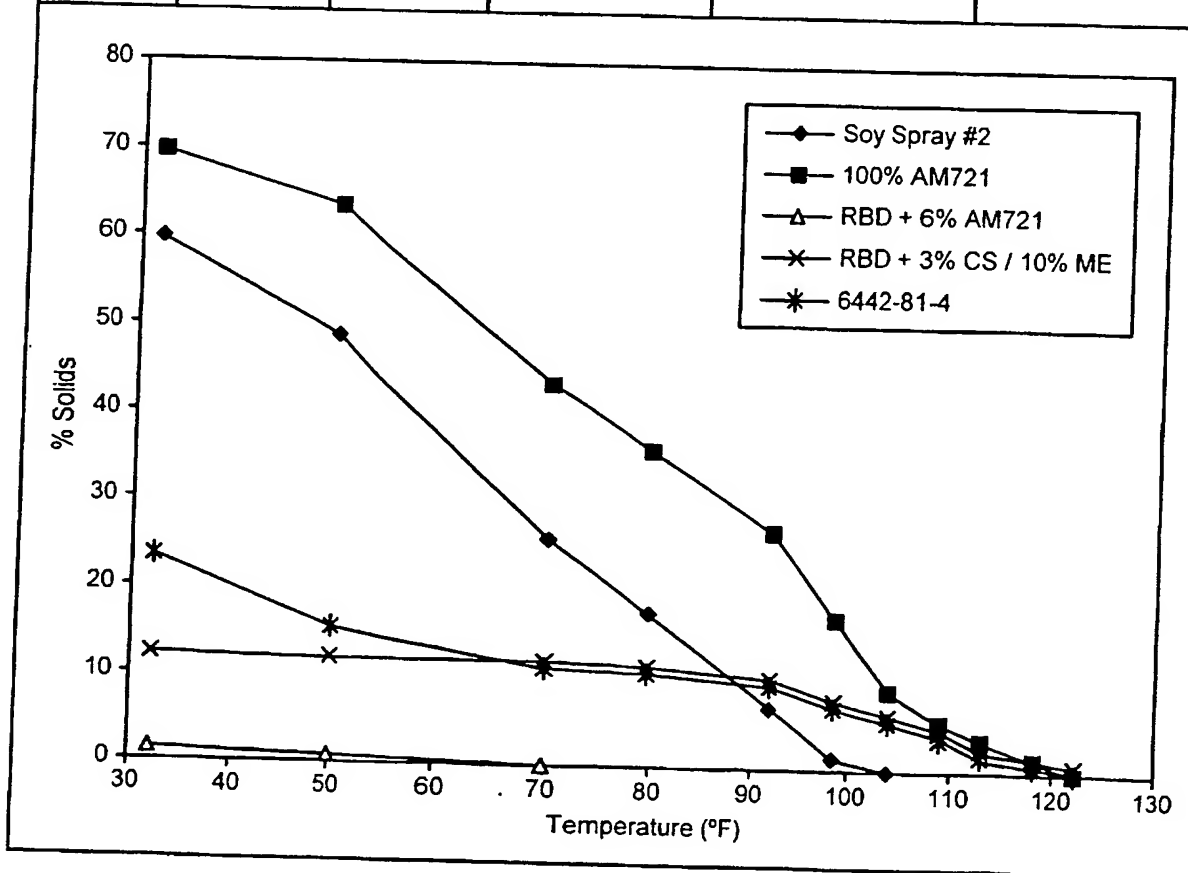


FIG. 1

## SHORTENING SYSTEM

FIELD OF THE INVENTION

The present invention relates to a shortening system, products containing or produced with the shortening system, and methods for making and using the shortening system.

More in particular, the present invention relates to a shortening system comprising an admixture of at least one non-hydrogenated vegetable oil and at least one stearine fraction obtainable from glycerolysis/interesterification of a fat or oil, or a shortening system comprising an admixture of at least one non-hydrogenated vegetable oil and mono- and diglycerides comprised predominantly of saturated acyl fatty acids, e.g., lauric, myristic, palmitic, stearic and combinations thereof; and, to methods for making, and uses, of such a shortening system, including products containing or having been prepared with such a shortening system.

The present invention further relates to a shortening system which contains crystal matrices, imparts improved organoleptic properties and stability to foodstuff containing the shortening system, and has a conserved level of trans isomers with respect to the fat or oil prior to glycerolysis/interesterification. That is, the amount of trans isomers in the fat or oil is not increased by the glycerolysis/interesterification reaction; and, is not increased by admixing the stearine fraction with non-hydrogenated oil. Thus, the present invention relates to a shortening system which can be used in place of a partially hydrogenated shortening system in present shortening system applications, thereby providing, for instance, healthier

foodstuffs.

Various documents are cited in the following text. Each of the documents cited herein, and each of the references cited in each of those various documents, is hereby incorporated herein by reference. None of the documents cited in the following text is admitted to be prior art with respect to the present invention.

#### BACKGROUND OF THE INVENTION

Currently in the U.S., partially hydrogenated fats are employed in the production of many chemically leavened and yeast-raised bakery products (e.g., cakes, crackers, cookies). The partial hydrogenation of domestic oils originating from soybean, cottonseed, corn, sunflower, and/or canola allow the chemical reduction of the unsaturated fatty acids to saturated fatty acids which provide greater oxidative stability.

Hydrogenation is a physical modification of these liquid oils, imparting thereto a solid fat content and an increased melting point, as saturated fatty acids are solid at room temperature whereas unsaturated fatty acids are liquid at room temperature. As a result, the oils which are naturally liquid can be transformed into a semi-solid fat with a particular melting profile. To provide maximum eating pleasure with this form of the fats, the hydrogenation process of these fats is highly controlled and allowed to proceed only partially, that is, to allow only some of the unsaturated fatty acids and/or bonds thereof to be reduced to the saturated form. These types of fats and fatty acids are called "partially hydrogenated

fats" or "partially hydrogenated oils" or "partially hydrogenated fatty acids".

In addition to the reduction of the unsaturated fatty acids to the saturated form, in partial  
5 hydrogenation, a side reaction occurs in which the natural form of the unsaturated bond (referred to as a cis isomer) will twist in the plane, to form what is now referred to as a trans isomer of the bond of the of the unsaturated fatty acid.

10 Generally, cis isomers are those naturally occurring in food fats and oils. Although very small amounts of trans isomers occur in fats from ruminants or can result from the deodorization step in refining of vegetable fats and oils, most trans isomers result from the  
15 partial hydrogenation of fats and oils. Also, it is possible for the unsaturated bond to move laterally along the fatty acid chain and this is referred to as a positional isomer. These isomers are formed at the high temperatures (e.g., 180°-240°C) common during the  
20 hydrogenation reaction and when the Nickel catalyst typically employed during the hydrogenation reaction unsuccessfully introduces a hydrogen atom to both sides of the unsaturated bond. These isomers are rather stable and will then remain unless the hydrogenation reaction is  
25 continued until there is a complete reduction of the unsaturated fatty acids. Therefore, partially hydrogenated fat will always contain some proportion of these positional and geometrical isomers; and, as discussed herein, those isomers can present problems.

For instance, typically, shortenings employed in bakery products may contain 15-25% trans isomers. The use of these isomers has become more scrutinized by nutritional science in the last several years. There have been 5 clinical studies reporting observed negative health effects correlated to the presence of trans fatty acids formed during the partial hydrogenation of oils, e.g., a positive correlation with coronary heart disease, an increase in the ratio of plasma low density lipoproteins (LDL) to high 10 density lipoproteins (HDL) and thus a possible increase in the risk of coronary heart disease (see, e.g., Elias, B.A., Food Ingredients Europe: Conference proceedings, London, October 1994 (Publisher: Process Press Europe, Maarssen); Willet, W.C. et al., Lancet 341 (8845), 581-585 (1993); 15 Khosla, P. et al., J. Am. Col. of Nutrition, August 1996, 15(4):325-339 (American College of Nutrition, NY, NY)).

Thus, a problem in the art is the use of partially hydrogenated fats and oils in foodstuff; and, a related problem is the need for a suitable replacement for 20 partially hydrogenated fats and oils in foodstuff.

Fats or oils which contain naturally a sufficient amount of saturated fatty acids to form solids include palm oil, palm kernel oil, coconut oil, lard, and tallow.

However, in the U.S. the use of tropical fats or 25 oils is basically limited to a few non-dairy and confectionery applications due to concerns relating to their naturally high content of short chain saturated fatty acids. Also the application of animal fats is restricted due to such issues religious dietary restrictions, e.g.,

rabinical law for kosher certification.

Thus, the problems presented by partially hydrogenated fats or oils cannot be addressed by merely employing naturally saturated fats or oils; and, the use of naturally saturated fats and oils present problems. It would be desirable to be able to employ naturally saturated fats or oils such as tropical fats or oils in more foodstuff, especially in more foodstuff in the U.S. than is currently typical, without triggering the bases for the concerns relating to their content of short chain saturated fatty acids.

Another possible replacement for partially hydrogenated fats or oils is interesterified fats based on liquid oils and fully hydrogenated fats. These interesterified fats are from a process wherein the fatty acids on the triglycerides of two fats are randomized, resulting in a triglyceride composition that can provide a suitable melting profile.

This option presents problems insofar as the food manufacturer or processor would be required to include the fully hydrogenated fat on the product label, and the ultimate consumer may likely associate trans isomers with the full or complete hydrogenation process, such that the food product would likely not be commercially successful.

Polyunsaturated fatty acids are considered a highly essential component of a healthy diet according to the U.S. Food and Nutritional Board's Recommended Dietary Allowances (tenth ed. 1989) (e.g., amount of dietary linoleic acid for humans should be a minimum of 2% of

dietary calories and preferably 3%; and, the requirement for linolenic acid has been estimated to be .54% of calories).

While it would be desirable to replace partially hydrogenated fats simply with natural vegetable oils since natural vegetable oils have a relatively high ratio of polyunsaturated to saturated fatty acids, attempts to do this so far have also proven to be quite unsatisfactory in regard to either the processing or organoleptic (e.g., taste, texture, eating) aspects of the food product. For example, there may be insufficient oil retainment in the dough or batter resulting in separation of oil. Or, oils may depart from the food product too quickly in the mouth, imparting an off-taste and off-feel to the product as it is being consumed.

Another related problem in the preparation of food products is "bloom"; a phenomenon wherein certain fats or oils permeate to the surface of a food product, such as a cookie, and leave a scoring on the surface of the food product. This "bloom" renders the food product not visually appealing and ergo not consumable. It would be desirable to provide a shortening system which does not suffer from "bloom."

In the production of food surfactants or emulsifiers, a triglyceride is reacted with glycerol and to form a reaction product containing the desired product, the monoglycerides. Thus, the reaction product is typically subjected to a treatment to isolate a monoglycerides product from a diglycerides and triglycerides product; the



diglycerides and triglycerides product is considered a by-product of the reaction of a triglyceride with a glycerol to obtain monoglycerides for surfactants or emulsifiers. The diglycerides and triglycerides product is sometimes  
5 discarded, or recycled back to a reactor wherein the reacting with glycerol is occurring so as to enhance the production of monoglycerides (see, e.g., Lauridsen, "Food Surfactants, Their Structure And Polymorphism, Technical Paper TP 2-1e, Danisco Ingredients, Braband Denmark, and  
10 references cited therein).

It would be desirable to provide alternative uses for this by product from emulsifier or surfactant preparation.

Systems functioning as or containing fats or oils  
15 have been proposed (see, e.g., CN 1078353, U.S. Patents Nos. 5,458,910, 5,612,080, 5,254,356, 5,061,506, 5,215,779, 5,064,670, 5,407,695, 4,865,866, 4,596,714, 4,137,338, 4,226,894, 4,234,606, 4,335,157, 3,914,452, 3,623,888, DD 291240A). However, these systems have not sufficiently  
20 addressed the problems in the art; and, these systems have not been reported to provide the synergistic, and surprisingly superior properties, including improvement in organoleptic properties of foodstuff, of the present invention.

25 Reference is generally made to the following documents which are not believed to teach or suggest the claimed invention, sufficiently address the problems in the art, or provide systems or any expectation of systems, having the synergistic, and surprisingly superior

properties, including improvement in organoleptic properties of foodstuff, of the present invention:

- U.S. Patents Nos. 5,458,910, 5,612,080, 5,254,356,  
 5,061,506, 5,215,779, 5,064,670, 5,407,695, 4,865,866,  
 5 4,596,714, 4,137,338, 4,226,894, 4,234,606, 4,335,157,  
 3,914,452, 3,623,888, 2,132,437, 2,442,534, 4,018,806,  
 4,154,749, 4,263,216, 4,656,045, 4,732,767, 5,470,598,  
 5,434,280, 5,110,509, 5,589,216, 5,316,927, 4,366,181,  
 3,943,259, 4,386,111, 4,501,764, 5,439,700, 5,718,938,  
 10 4,567,056, 5,756,143, 4,510,167, 4,425,371, 4,889,740,  
 4,961,951, 4,055,679, and 5,211,981; Chinese Patent No.  
 1078353; German Patent No. 291240A; Elias, B.A., Food  
 Ingredients Europe: Conference Proceedings, London, October  
 1994; Willit, W.C., Lancet, 341(8845), pp. 581-5 (1993);  
 15 Khosla et al., J. Amer. College of Nutrition, August 1996,  
 15(4), pp. 325-339; Feuge et al., Modification of Vegetable  
 Oils VI: The Practical Preparation of Mono and  
 Diglycerides, Oil and Soap, 23 (259-264), 1946; Lauridsen,  
 "Food Surfactants, Their Structure And Polymorphism,"  
 20 Technical Paper TP 2-1e, Danisco Ingredients, Braband  
 Denmark; Handbook of Food Additives, 2nd Edition, Vol. 1,  
 Chapter 9, Surface Active Agents, pp. 397-429; Bailey's  
 Industrial Oil and Fat Products, 4th Edition, Vol. 2,  
 Chapter 4, pp. 130-47; and, Krog, "Interactions of Surface-  
 25 Active Lipids With Water, Protein and Starch Components In  
 Food Systems," Technical Paper TP 3-1e, Danisco  
 Ingredients, Braband, Denmark.

#### AIMS AND SUMMARY OF THE INVENTION

The present invention aims to provide any or all

of: a shortening system; products containing or prepared with a shortening system; methods for making or using a shortening system; a shortening system which exhibits surprisingly superior or synergistic properties, e.g., when  
5 in a foodstuff; and, a shortening system which addresses any problem of prior shortening systems.

Accordingly, the present invention provides a shortening system comprising an admixture of at least one non-hydrogenated vegetable oil and at least one stearine  
10 fraction obtainable from glycerolysis/interesterification of a fat or oil. The stearine fraction is preferably obtained from glycerolysis/interesterification of a fat or oil.

The shortening system contains crystal matrices,  
15 imparts improved organoleptic properties and stability to foodstuff containing the shortening system, and has a conserved level of trans isomers with respect to the fat or oil prior to glycerolysis/interesterification. That is, the amount of trans isomers in the fat or oil is not  
20 increased by the glycerolysis/interesterification reaction; and, is not increased by admixing the stearine fraction with non-hydrogenated oil.

The invention provides a shortening system comprising an admixture of at least one non-hydrogenated  
25 vegetable oil and at least one monoglyceride and/or diglyceride, preferably at least one diglyceride and optionally also at least one monoglyceride, more preferably mono- and diglycerides. The at least one monoglyceride and/or diglyceride is preferably comprised predominantly of

saturated acyl fatty acids, e.g., lauric, myristic, palmitic, stearic and combinations thereof.

The shortening system contains crystal matrices, imparts improved organoleptic properties and stability to  
5 foodstuff containing the shortening system, and has a conserved level of trans isomers.

That is, the stearine fraction can be comprised of mono- and diglycerides comprised predominantly of saturated acyl fatty acids, e.g., lauric, myristic,  
10 palmitic, stearic and combinations thereof. Further, the at least one monoglyceride and/or diglyceride can be a stearine fraction, i.e., normally solid at room temperature.

The stearine fraction or the at least one  
15 monoglyceride and/or diglyceride can be derived from natural food grade fats, preferably plant fats, such as coconut oil, palm oil, palm kernel oil, and the like, or fats that have been fully hydrogenated. Thus, in certain embodiments, the stearine fraction or the at least one  
20 monoglyceride and/or diglyceride is derived from naturally saturated fats or oils. A stearine fraction or at least one monoglyceride and/or diglyceride derived from palm oil is preferred.

Any suitable food grade non-hydrogenated  
25 vegetable oil, such as sunflower oil, soybean oil, corn oil, peanut oil, cottonseed oil, safflower oil, olive oil, and the like can be used in the invention. Liquid soybean oil is preferred.

For instance, the shortening system can contain

by weight approximately 3% to approximately 15%, preferably approximately 5% to approximately 12%, advantageously approximately 5% to approximately 10%, more advantageously approximately 5% to approximately 8%, and more preferably  
5 approximately 6% of the stearine fraction or the at least one monoglyceride and/or diglyceride, and approximately 85% to approximately 97%, preferably approximately 88% to approximately 95%, advantageously approximately 90% to approximately 95%, more advantageously approximately 92% to  
10 95%, and more preferably approximately 94% of the vegetable oil.

The inventive composition surprisingly exhibits a higher solids content or greater amount of crystals than expected from the sum of the individual components of the  
15 inventive composition, i.e., the inventive composition surprisingly exhibits a synergistic solids content or a synergistic amount of crystals, especially when employed in a foodstuff; and, this imparts to the foodstuff improved organoleptic properties and improved stability.

20 The stearine fraction or the at least one monoglyceride and/or diglyceride preferably has an enriched concentration of diglycerides, with respect to the glycerolysis of triglycerides; and, the invention further comprehends methods for preparing and using the inventive  
25 compositions of the invention, as well as foodstuff containing or having been prepared with compositions of the invention.

Thus, in a further embodiment the invention provides a method for preparing a shortening composition

comprising admixing a stearine fraction obtainable from the glycerolysis/interesterification of a triglyceride or at least one monoglyceride and/or diglyceride, e.g., mono- and diglycerides from glycerolysis/interesterification, with  
5 vegetable oil.

In another embodiment the invention comprises a method for preparing a shortening composition comprising: subjecting a triglyceride to glycerolysis/interesterification; isolating a stearine  
10 fraction obtainable from the glycerolysis/interesterification, preferably a stearine fraction having an enhanced diglyceride concentration, and admixing the stearine fraction obtainable from the glycerolysis/interesterification of a triglyceride with  
15 vegetable oil.

An "enhanced" diglyceride concentration means that the reaction product from the glycerolysis/interesterification has not been subjected to further treatment commonly performed, such as solvent  
20 extraction, fractionation, e.g., using supercritical carbon dioxide, or molecular distillation or the like to isolate monoglycerides from diglycerides and triglycerides, such that the reaction product contains approximately 30 mol % to 40 mol % of each of monoglycerides and diglycerides with  
25 the remainder being made up of triglyceride and glycerol. This is a higher diglyceride concentration than typical since it is common to perform subject the reaction product to a treatment to isolate monoglycerides from diglycerides and triglycerides.

Alternatively, an "enhanced" diglyceride concentration can mean that the reaction product from the glycerolysis/interesterification has been subjected to further treatment to isolate a monoglycerides product from a diglycerides and triglycerides product, and the stearine fraction or the at least one monoglyceride and/or diglyceride is the diglycerides and triglycerides product after such an isolation treatment. In this instance, the stearine fraction or the at least one monoglycerides and/or diglycerides contains a higher concentration of diglycerides and a lower concentration of monoglycerides, relative to the reaction product, e.g., a monoglycerides concentration of up to approximately 18 mol % to approximately 23 mol%, advantageously up to approximately 20 mol % of monoglycerides; a triglycerides concentration of up to approximately 23 mol% to approximately 27 mol%, advantageously approximately 25 mol%; and, a diglycerides concentration of approximately 50 mol% to approximately 60 mol%, advantageously approximately 55 mol%.

Accordingly, it is preferred that the ester distribution in the stearine fraction or the at least one monoglyceride and/or diglyceride is: Monoester (monoglycerides): less than approximately 30 mol%, advantageously less than approximately 25 mol%, and more advantageously less than approximately 20 mol% and, diester (diglycerides): greater than approximately 40 mol%, advantageously greater than approximately 45 mol%, and more advantageously greater than 50 mol%.

It is typical in the preparation of emulsifiers

from reacting a triglyceride with glycerol to subject the reaction product to a treatment to isolate a monoglycerides product from a diglycerides and triglycerides product; the monoglycerides product in such a preparation is considered  
5 the desired product, and the diglycerides and triglycerides product is considered a by-product, which, for instance, is sometimes discarded, or recycled back to a reactor wherein the reacting with glycerol is occurring so as to enhance the production of monoglycerides. Surprisingly, the  
10 present invention provides a use for that which was previously considered a by-product which needed to be recycled back to the reactor or discarded.

The stearine fraction or saturated acyl fatty acids can be concentrated by heating and cooling:  
15 Saturated compounds from the glycerolysis/interesterification are typically solid at room temperature and unsaturated compounds from the glycerolysis/interesterification are typically liquid at room temperature. Thus, by heating compounds from  
20 glycerolysis/interesterification, both the saturated and unsaturated compounds will become liquid and upon cooling, the saturated compounds can be separated out as they crystallize.

Accordingly, the method for preparing inventive  
25 compositions can comprise subjecting a triglyceride to glycerolysis/interesterification to obtain a reaction product; optionally separating a predominantly monoglycerides product and a predominantly diglycerides product from the reaction product; isolating a stearine



fraction obtainable from the glycerolysis/interesterification reaction product or from the optional predominantly diglycerides product, preferably a stearine fraction having an enhanced diglyceride concentration, and preferably the isolated stearine fraction is concentrated by heating and crystallizing saturated compounds; and admixing the stearine fraction obtainable from the glycerolysis/interesterification of a triglyceride with vegetable oil.

10 The present invention further comprehends uses of the inventive shortening composition. For instance, the inventive shortening composition can be topically applied to a foodstuff such as a cracker, e.g., by spraying, to stabilize the cracker. It has been observed that the  
15 inventive shortening composition can improve the organoleptic properties of a foodstuff such as a cracker.

The inventive shortening system can be used in bakery products, e.g., bread, cookies, in place of partially hydrogenated shortenings. Thus, the present  
20 invention can address the problems of trans fatty acids and partially hydrogenated fats or oils in foods, as well as address the issue of a suitable replacement for partially hydrogenated fats or oils in foods. But moreover, in these food applications, the inventive composition demonstrates  
25 surprisingly superior properties.

Further, the inventive shortening system can be used as a delivery system for an emulsifier.

Thus, the invention comprehends a foodstuff containing the inventive shortening system or having been

prepared with the inventive shortening system; and, the invention provides an emulsifier delivery system comprising the inventive shortening system.

The shortening system of the present invention  
5 provides improved organoleptic properties to foodstuff prepared with or containing the shortening system. For instance, when sprayed on crackers, the shortening system, possibly due to the synergistic amount of crystal matrices, does not "bleed off" the cracker as does other fats or oils  
10 such as vegetable oil when the cracker is placed on a surface; and, the shortening system does not separate too quickly in the mouth such that the cracker has better taste and feel in the mouth. Similarly, in baked goods, the shortening system does not permeate to the surface or  
15 "bloom."

By "does not 'bleed off'" is meant that the cracker or other foodstuff is placed on a paper towel for a period of time, such as overnight, and the cracker or foodstuff leaves a negligible oil print on the paper, similar  
20 to the print left by a cracker or other foodstuff prepared using a partially hydrogenated shortening for the same period of time.

Furthermore, the invention provides broader uses for naturally saturated fats or oils without presenting the  
25 issues raised by the presence therein of short chain saturated fatty acids by employing the stearine fraction, or at least one monoglyceride and/or diglyceride preferably saturated acyl fatty acid(s), derived therefrom as a minor component of the shortening system.

These and other embodiments are disclosed or are obvious from and encompassed by, the following Detailed Description.

**BRIEF DESCRIPTION OF THE DRAWING**

5           The following detailed description, given by way of example, but not intended to limit the invention solely to the specific embodiments described, may best be understood in conjunction with the accompanying drawing, incorporated herein by reference, in which:

10           Fig. 1 shows a graph and table from a standard SFC test (solid fat content) wherein in the table temperature is provided in the far left column and solids are provided for the respective temperatures under the columns headed with respect to an inventive composition and  
15 concerned fats and in the graph %Solids are plotted against Temperature (°F) with respect to 100% soy oil (dark diamond), 100% stearine fraction or the at least one monoglyceride and/or diglyceride, particularly a mono-diglyceride based on a fully refined palm stearine with a  
20 51% by wt diglyceride content (dark square, "AM721"), an inventive emulsifier blend (6% of the stearine fraction or the at least one monoglyceride and/or diglyceride "AM721" and 94% soy oil "RDB" - fully Refined, Bleached and Deodorized Soybean Oil) (open triangle, "RDB+6% AM721"), a  
25 blend of 97% soy oil and 3% cotton seed stearine having 10% by wt added margarine emulsifier (monoglyceride) (dark cross or "X", "RDB + 3% CS/10% ME"), and an interesterified soybean oil with a fully hydrogenated oil (wherein the interesterification results in a randomizing or blending of

fatty acids from the sources, *inter alia*) (dark star or  
"\*\*\*", "6442-81-4"), providing a Solids/Temperature profile  
for the concerned fats and demonstrating surprising and  
unexpected results of the invention including that the  
5 Solids/Temperature profile for the concerned fats would not  
predict the success or the observed very low solids content  
of the inventive oil and emulsifier blends.

#### DETAILED DESCRIPTION

The invention pertains to a shortening system and  
10 methods for making and uses of it.

It has now been found that the physical  
properties of liquid vegetable oils are surprisingly  
improved by the addition of minor levels of a crystal  
modifier, thereby providing improved organoleptic  
15 properties in foodstuff containing or prepared with the  
modified vegetable oil and maintaining the more favorable  
nutritional aspects of vegetable oil over the partially  
hydrogenated shortenings.

In the present invention mono- and diglycerides,  
20 mono- and diesters of fatty acids and glycerol, especially  
diglycerides (or a modifier having an enriched diglyceride  
concentration), advantageously mono- and diglycerides from  
saturated fats or oils such as vegetable fats or oils, and  
more advantageously, the stearine fraction of such mono-  
25 and diglycerides, provides crystal modification for  
vegetable oil.

Mono- and diglycerides are formed in the  
intestinal tract as a result of the normal digestion of  
triglycerides and are also found naturally in minor amounts

in all vegetable oils. As a result they are generally recognized as safe (GRAS). In particular, the diesters, which are quite lipophilic, can co-crystallize within the triglyceride network of the liquid vegetable oil.

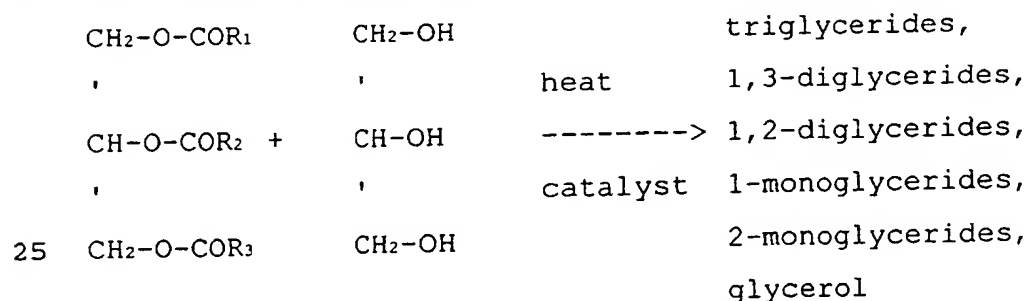
- 5 Monoesters of glycerides have reduced solubility in fats and begin to crystallize at even higher temperatures (e.g., 120°-130°F), providing crystal seeding.

For a preferred the proper mouth profile (organoleptic properties), the fatty acid profile for these  
10 mono- and diglycerides is preferably selected to allow the required processing stability and also the desired melting profile in the finished product as well. Thus, while there are many different  
sources for the stearine fraction obtainable from  
15 glycerolysis/interesterification of a fat or oil or for the at least one monoglyceride and/or diglyceride, e.g., mono- and diglycerides comprised predominantly of saturated acyl fatty acids, used in the inventive composition, e.g., lauric, myristic, palmitic, stearic and combinations  
20 thereof such as those derived from natural food grade fats, preferably plant fats, such as coconut oil, palm oil, palm kernel oil, and the like, or fats that have been fully hydrogenated, the skilled artisan, without undue experimentation from this disclosure and the knowledge in  
25 the art and the ultimate foodstuff in which shortening composition is to be employed, can select a suitable source for the stearine fraction or for the mono- and diglycerides. For instance, a fully hydrogenated fat or a fat or oil having a high melting point could impart a waxy

taste when used in a shortening composition according to the invention, especially if the shortening composition is ultimately used as a coating on a cracker. Accordingly, a stearine fraction obtainable from palm oil or a naturally  
 5 saturated oil similar to palm oil is preferred in the practice of the invention.

Similarly, while many types of vegetable oils can be employed in the practice of the invention, the skilled artisan, without undue experimentation from this disclosure  
 10 and the knowledge in the art and the ultimate foodstuff in which shortening composition is to be employed, can select an oil which does not impart undesirable flavor characteristics. For instance, olive oil may impart a flavor not desired for a cracker coating or a cookie.  
 15 Accordingly, non-hydrogenated soybean oil is preferred in the practice of the invention.

Mono- and diglycerides can be commercially prepared from edible fats and oils of animal or vegetable origin. The manufacturing process involves a reaction of  
 20 fat (triglycerides) and glycerin or glycerol:



The reaction is carried out at approximately 200°C (392°F) in the presence of a catalyst such as an alkaline catalyst (see, e.g., Lauridsen, *supra*; Feuge and

Bailey: Modification of Vegetable Oils. VI. The Practical Preparation of Mono- and Diglycerides. Oil and Soap 23:259-264 (1946)).

The reaction product is a mixture of mono- and diglycerides and triglycerides with minor quantities of free glycerol and free fatty acids, as depicted above and in Lauridsen, *supra*. The reaction mixture is then processed through to remove the remaining glycerol and to reduce the level of free fatty acids. The processing can comprise distillation. Thereafter, an acid is added to neutralize the catalyst. The degree of glycerolysis/interesterification upon equilibrium is determined by the ratio of triglycerides to glycerol.

In this invention, the reaction is preferably performed to provide a ester distribution in which: the monoester content is: less than 30% and advantageously less than 25% and more advantageously less than 20%, and the diester content is greater than 40% and advantageously greater than 45% and more advantageously greater 50%. Thus, in the preparation of an emulsifier or surfactant from glycerolysis/interesterification of a triglyceride, it is preferred to isolate or separate the monoester, e.g., by solvent extraction, fractionation, e.g., using supercritical carbon dioxide, or molecular distillation or the like from the reaction product, leaving a by-product which typically has an enhanced concentration of diglycerides. This by-product from the production of monoesters for use in emulsifiers or surfactants is useful in the practice of this invention. Accordingly, performing

the reaction to obtain the desired ester distribution can be achieved by at least partially isolating or separating the monoester from the reaction product, as is done when an emulsifier or surfactant is being prepared, i.e., by  
5 utilizing that which was heretofore considered a by-product during the preparation of an emulsifier or surfactant.

In addition, prior to use in the invention, the mono- and diglycerides, e.g., the product having an enhanced concentration of diglycerides, can be subjected to  
10 heating and cooling to recrystallize the saturated compounds and obtain the stearine fraction thereof.

The mono- and diglycerides are preferably derived from vegetable oils consisting of a mixture of saturated acyl fatty acids including lauric, myristic, palmitic, and  
15 stearic in combination with a mixture of unsaturated fatty acids including oleic, linoleic, and/or linolenic. The origin of such fatty acids may include the natural fats such as coconut oil, palm oil, palm kernel oil, and/or the fats that have been fully hydrogenated. These fat sources  
20 are preferred due to the content of mainly natural cis isomers with only minor levels of trans isomers of unsaturated fatty acids (typically less than 1%).

Thus, the term "conserved" as used herein is with respect to the reaction for preparing the mono- and  
25 diglycerides and the blending to produce the inventive shortening composition not significantly or substantially increasing the amount of trans isomers present from those naturally present. Thus, the inventive shortening composition can be said to be appreciably free of



positional and geometrical isomers. By avoiding the use of partially hydrogenated fat, the present invention avoids the problems of the positional and geometrical isomers which result from partial hydrogenation.

5           Accordingly, the inventive shortening composition is nutritionally superior to partially hydrogenated shortening as the inventive shortening composition contains only trace levels of either positional or geometric isomers of unsaturated fatty acids (typically less than 1%) and  
10 reduced levels of saturated fatty acids (typically less than 25%). Thus, the present invention is well-suited for chemically leavened or yeast-raised bakery products, as well as for cookies, crackers, and other applications where partially hydrogenated fats or oils are presently used.

15           The inventive shortening composition is prepared by the physical blending or admixing of the components (the non-hydrogenated vegetable oil and the mono- and diglycerides or the stearine fraction thereof), preferably with mechanical agitation. The mono- and diglyceride or  
20 stearine fraction thereof is preferably heated to an elevated temperature sufficient to provide liquidity, e.g., to within  $\pm 10^{\circ}\text{C}$  of the melting point, and is then added directly into the non-hydrogenated liquid vegetable oil. Blending is continued until the mono- and diglyceride or  
25 stearine fraction thereof is completely in solution, i.e., completely dissolved into the non-hydrogenated liquid vegetable oil. The inventive shortening composition can then be added directly into a foodstuff at this temperature, or cooled prior to use in a foodstuff,

depending upon the use.

Thus, after preparation, the inventive shortening composition can be stored at a temperature to maintain it in a liquid state, i.e., to maintain the solution; and it  
5 can be used directly in the preparation of a foodstuff at or below the temperature required to maintain the solution.

In addition, the liquid state of the inventive shortening composition can be rapidly cooled to a temperature of about 65 - 90°F (about 18° - 32°C) to initiate the formation of  
10 dispersed fat crystals in the oil prior to adding to other ingredients of a foodstuff.

The inventive shortening composition can be used with any desired antioxidant system, such as tocopherol, TBHQ, BHT, or propyl gallate, alone or in combination with  
15 metal scavengers such as citric acid, phosphoric acid, EDTA and the like, to increase the stability of the shortening system against oxidative reactions.

The shortening composition of the invention can be used instead of conventional partially hydrogenated fats  
20 or oils in various types of foodstuff; and, can be used as a delivery system for an emulsifier.

For use as a delivery system for food emulsifiers, the inventive shortening composition is typically combined by physical blending (admixing) with the  
25 emulsifier. Typical emulsifiers which can be blended with the shortening system include lecithin, Diacetylated tartaric acid esters of mono-diglycerides (DATEM), sodium stearoyl lactylate (SSL) and the like (see, e.g., N. Krog, "Interactions of Surface-Active Lipids With Water, Protein

and Starch Components In Food Systems," Technical Paper TP 3-1e, Danisco Ingredients, Braband, Denmark). And thus, the invention comprehends an emulsifier delivery system comprising: an inventive shortening system admixed with an  
 5 emulsifier, e.g., comprising: non-hydrogenated vegetable oil, mono- or diglycerides or a stearine fraction thereof from glycerolysis/interesterification of triglycerides, preferably saturated triglycerides, and an emulsifier. The amount of emulsifier used is the same as the amount of  
 10 emulsifier typically used when shortening is a vehicle for delivery of an emulsifier; and, the skilled artisan can arrive at a suitable amount of emulsifier for use in this aspect of the invention, without undue experimentation, from this disclosure and the knowledge in the art.

15 A better understanding of the present invention and of its many advantages will be had from the following examples, given by way of illustration.

#### EXAMPLES

##### **Example 1 - TYPICAL FATTY ACID PROFILES**

20 The fatty acid profile for an inventive shortening composition of 92 parts by weight fully refined soybean oil and 8 parts by weight mono- and diglyceride based on palm oil (stearine fraction) would typically consist of:

|    |                               |       |
|----|-------------------------------|-------|
| 25 | saturated fatty acids         | 18%   |
|    | monounsaturated fatty acids   | 24%   |
|    | polyunsaturated fatty acids   | 58%   |
|    | positional, geometric isomers | trace |

The fatty acid profile for another inventive

shortening based on 85 parts by weight fully refined soybean oil and 15 parts by weight of this mono- and diglyceride based on palm oil (stearine fraction) would consist of:

|   |                               |       |
|---|-------------------------------|-------|
| 5 | saturated fatty acids         | 22%   |
|   | monounsaturated fatty acids   | 24%   |
|   | polyunsaturated fatty acids   | 54%   |
|   | positional, geometric isomers | trace |

Both of these shortening compositions have a very favorable ratio of polyunsaturate to saturate (greater than 2.4) and a favorable ratio of polyunsaturate to saturate + positional, geometrical isomers of greater than 2.4.

In comparison, the fatty acids profile for the typical partially hydrogenated shortening that would be incorporated as a spray oil could consist of:

|    |                               |          |
|----|-------------------------------|----------|
| 15 | saturated fatty acids         | 20 - 30% |
|    | monounsaturated fatty acids   | 35 - 45% |
|    | polyunsaturated fatty acids   | 10 - 20% |
|    | positional, geometric isomers | 15 - 30% |

Such shortenings have less favorable ratios of polyunsaturate to saturate (less than 1) and an even less favorable ratio of polyunsaturate to saturate + positional, geometrical isomers (less than 0.5). The saturates and the geometrical/positional isomers represent a major fraction of the oil (greater than 35%).

The inventive shortening compositions in this Example, upon temperature reduction, form a suspension of crystals providing texture and mouthfeel enhancement to said bakery applications.

To evaluate an inventive shortening containing liquid refined soybean oil (86 parts by weight) combined with mono- and diglycerides based on palm oil (14 parts by weight), as in Example 1 (except that parts by weight of components varied) a model formulation for sugar cookies was prepared. The shortening was prepared by physically blending both molten components with mechanical agitation to a temperature of 120°F. The molten blend was then passed through a scrap surface heat exchanger and cooled to a temperature of 75°F and then tempered for 1 hour with gentle agitation to form a crystal network. The crystallized liquid shortening was then incorporated within the cookie dough formulation.

25    Formulation                      Sugar Cookie

## Ingredients

grams

BNSDOCID: <GB 2350618A | >

|         |                                   |       |
|---------|-----------------------------------|-------|
|         | soda (sodium bicarbonate)         | 2.25  |
|         | FGS (sucrose, fine granulation)   | 94.50 |
|         | shortening at ambient temperature | 90    |
| group 2 | ammonium bicarbonate              | 1.13  |
| 5       | high fructose corn syrup          |       |
|         | (HFCS)                            | 3.38  |
|         | water                             | 49.5  |
| group 3 | flour                             | 225   |

10           The mixing procedure for these groups was as follows:

STAGE 1:

group 1: blend dry ingredients (NFDM, salt, soda, FGS) add  
15           to fat, and mix in Hobart mixer 3 minutes at low  
          speed, scrape paddle and sides of bowl after each  
          minute of mixing.

STAGE 2:

group 2: dissolve ammonium bicarbonate in tap water to  
20           form a first solution, add first solution to HFCS  
          to form second solution, add second solution to  
          product from stage 1, group 1 mixing procedure,  
          mix 1 min at low speed, scraping bowl and paddle  
          after each 30 sec., and mix 2 min at med. speed,  
          scraping bowl and paddle after each 30 sec.

25 STAGE 3:

group 3: add flour to product from stage 2, group 2,  
          mixing procedure, fold into liquid mixture 3 times,  
          and mix 2 minutes low speed, scraping bowl and  
          paddle after each 30 sec.

After mixing was complete, the dough was allowed to sit for 10 minutes to observe oil retention. Thereafter, the dough was sheeted out to a thickness of 7mm with a rolling pin and gauge bars. Using a round cutter (60 mm), the cookies pieces were placed on an aluminum baking sheet and baked at 400°F for 12 minutes. After baking, the cookies were allowed to cool to ambient temperature. The cookies were then evaluated for organoleptic properties (texture/flavor release) and oil retention.

#### Observations

Upon resting the mixed dough for 10 minutes, small amounts of liquid oil could be observed at the base of the pan. Even with this minor separation, the dough maintained good rheological properties for sheeting and cutting. The finished cookies had short texture and good flavor release. Cookies placed on a paper towel overnight left negligible oil prints on the paper, less than the prints left by an un-hydrogenated shortening.

#### 20 **Example 3 - SAVORY, SNACK CRACKERS**

Shortenings are employed both in the formulation of snack crackers and as a coating on the surface of the crackers after baking. Immediately after baking while the crackers are still hot, shortening (spray oil) is topically applied to the entire surface on a weight basis of 10-20%.

This spray oil serves to improve and preserve the cracker's mouth profile concerning texture/flavor release; and, of course, its overall appearance. Generally, partially hydrogenated oils are employed as opposed to non-

hydrogenated oils due to the presence of solid fat or crystals which entrap liquid oil. Crackers sprayed simply with non-hydrogeneated oils can be characterized as very oily to the touch when removing from the packaging. In addition, the mouthfeel of these crackers seems somewhat dry and brittle and the flavor release seems quick as the liquid oil releases immediately from the surface.

To evaluate an inventive shortening composition containing liquid refined soybean oil (93 parts) combined with mono- and diglyceride based on palm oil (7 parts) as in Example 1 (except that proportions of components varied), a model formulation for buttery snack crackers was prepared. The shortening was prepared by physically blending both molten components with mechanical agitation to a temperature of 120°F. The crackers were first formulated with the shortening and then after baking, the shortening was applied as a spray oil.

**Formulation****Buttery Snack cracker**Ingredients

|    |              |                          |     |
|----|--------------|--------------------------|-----|
| 20 | <u>grams</u> |                          |     |
|    | group 1:     | Shortening               | 30  |
|    |              | fine granulated sugar    | 23  |
|    |              | high fructose corn syrup | 10  |
|    |              | salt                     | 5   |
| 25 |              | water                    | 160 |
|    |              | butter flavor            | 2   |
|    | group 2:     | flour                    | 500 |
|    |              | sodium bicarbonate       | 6   |
|    |              | calcium phosphate        | 4   |



group 3: ammonium bicarbonate

6

The mixing procedure was as follows:

STAGE 1:

group 1: In a water jacketed mixing bowl with paddle, add  
5 and mix all of group 1 reserving a small portion of the  
water to dissolve ammonium bicarbonate. Mix for 3 minutes  
at low speed.

STAGE 2:

group 2: Add flour, soda and calcium phosphate to the  
10 product from stage 1, and jog mixer for 30 seconds at low  
speed.

STAGE 3:

group 3: Add ammonium bicarbonate dissolved in remaining  
water to the product from stage 2, and mix  
15 for 6-8 minutes at low speed; scraping down sides  
of mixing bowl after each 1 minute.

The sheeting and cutting and baking was as  
follows:

20 1. After proofing, the product from stage 3 was  
sheeted on a Rondo Sheeter at #12 setting; and passed  
through 3 times. Thereafter, the product was sheeted once  
at the following settings, gradually reducing the thickness  
of the dough.

25 #10  
# 7  
# 5 turn 90°  
# 3

Finish at #1 or 3/4

2. Stamp out cracker pieces so that 10 pieces weigh approximately 35 grams.
3. Place cracker pieces on hot wire mesh band.  
Bake at 400°F for 4 minutes or until a golden  
5 brown color is obtained with a final moisture  
content  
of 2-3%.
4. The spray oil is then applied in molten form at a  
temperature of 120°F by a spray atomizer at a level of 18%  
10 based on a total weight of cracker.
5. The crackers are then allowed to cool on a rack  
and then packaged in plastic liners.

#### Observations

Upon evaluation, the crackers incorporating the  
15 inventive shortening based on non-hydrogenated oil and a  
mono-diglyceride stearine fraction had a uniform appearance  
and were semi-dry to the touch. Both the mouthfeel and the  
flavor release provided a pleasant eating experience.

#### Example 4 - FATTY ACID PROFILES & SFC

20 Inventive compositions were evaluated for fatty  
acid profiles and with respect to solid fat content (SFC)  
(Fatty acid profile by Standard AOCS method Cele-91, Fatty  
Acids By Capillary GLC; solid fat content by Standard AOCS  
method Cd16-81, SFC By NMR).

#### 25 FATTY ACID PROFILES

The fatty acid profile for the mono-diglyceride  
somewhat depends on its fat source. As stated above, the  
mono-diglyceride should be based predominantly on a mixture  
of saturated acyl fatty acids, e.g., lauric, myristic,

palmitic, and stearic, with the minor fraction based on the unsaturated fatty acids, e.g., oleic, linoleic, and linolenic.

A particularly preferred emulsifier for an inventive composition ("AM721": mono-diglyceride based on a fully refined palm stearine with a 51% by wt diglyceride content) was evaluated as to fatty acid profile were as follows:

| <u>Saturated acyl fatty acids</u> |                                     | <u>%</u> |
|-----------------------------------|-------------------------------------|----------|
| 10                                | Lauric acid (C12:0)                 | trace    |
|                                   | Myristic acid (C14:0)               | trace    |
|                                   | Palmitic acid (C16:0)               | 55       |
|                                   | Stearic acid (C18:0)                | 6        |
| 15                                | <u>Unsaturated acyl fatty acids</u> | <u>%</u> |
|                                   | Oleic acid (C18:1)                  | 28       |
|                                   | Linoleic acid (C18:2)               | 8        |
|                                   | Linolenic acid (C18:3)              | trace    |

As a range and general teaching, preferred emulsifiers for inventive compositions evaluated as to fatty acid profiles were as follows:

| <u>Saturated acyl fatty acids</u>   |                       | <u>%</u>      |
|-------------------------------------|-----------------------|---------------|
|                                     | Lauric acid (C12:0)   | zero to trace |
|                                     | Myristic acid (C14:0) | trace         |
| 25                                  | Palmitic acid (C16:0) | 25-60         |
|                                     | Stearic acid (C18:0)  | less than 30  |
| <u>Unsaturated acyl fatty acids</u> |                       | <u>%</u>      |
|                                     | Oleic acid (C18:1)    | 10-30         |

Linoleic acid (C18:2) less than 10

Linolenic acid (C18:3) less than 01

As another range and general teaching, particularly preferred emulsifiers for inventive compositions evaluated as to fatty acid profiles were as follows:

| <u>Saturated acyl fatty acids</u> |               | <u>%</u> |
|-----------------------------------|---------------|----------|
| Lauric acid (C12:0)               | zero to trace |          |
| Myristic acid (C14:0)             | trace         |          |
| 10 Palmitic acid (C16:0)          | 45-60         |          |
| Stearic acid (C18:0)              | less than 15  |          |

| <u>Unsaturated acyl fatty acids</u> |              | <u>%</u> |
|-------------------------------------|--------------|----------|
| Oleic acid (C18:1)                  | 10-30        |          |
| 15 Linoleic acid (C18:2)            | less than 10 |          |
| Linolenic acid (C18:3)              | less than 01 |          |

#### SFC

An inventive composition of 6% mono-diglyceride in liquid soybean oil was evaluated in a standard SFC test, as was its constituents and comparative compositions, and Fig. 1 provides the results thereof.

Fig. 1 shows a graph and table from a standard SFC test (solid fat content) wherein in the table temperature is provided in the far left column and solids are provided for the respective temperatures under the columns headed with respect to an inventive composition and concerned fats and in the graph %Solids are plotted against Temperature (°F) with respect to 100% soy oil (dark diamond), 100% stearine fraction or the at least one

monoglyceride and/or diglyceride, particularly a monodiglyceride based on a fully refined palm stearine with a 51% by wt diglyceride content (dark square, "AM721"), an inventive emulsifier blend (6% of the stearine fraction or  
5 the at least one monoglyceride and/or diglyceride "AM721" and 94% soy oil "RDB" - fully Refined, Bleached and Deodorized Soybean Oil) (open triangle, "RDB+6% AM721"), a blend of 97% soy oil and 3% cotton seed stearine having 10% by wt added margarine emulsifier (monoglyceride) (dark  
10 cross or "X", "RDB + 3% CS/10% ME"), and an interesterified soybean oil with a fully hydrogenated oil (wherein the interesterification results in a randomizing or blending of fatty acids from the sources, *inter alia*) (dark star or  
"X", "6442-81-4"), providing a Solids/Temperature profile  
15 for the concerned fats and demonstrating surprising and unexpected results of the invention including that the Solids/Temperature profile for the concerned fats would not predict the success or the observed very low solids content of the inventive oil and emulsifier blends.

20 The results suggest that an addition of 6% monodiglyceride in liquid oil does not provide any appreciable solids. However, from evaluations of this shortening in food applications or observations when the shortening is allowed to temper (e.g., sit for several hours) such as  
25 from visual evaluations or observations, the level of solids created (crystals) may be in fact higher than the results observed in the SFC test. Without wishing to necessarily be bound by any one particular theory, perhaps the evaluations of the shortening in food applications and

the visual observations show crystals, in contrast to the SFC results, because of the temperature of crystallization and/or a solubility effect and/or crystals do not form within the time and/or temperature confines of the standardized SFC test procedures.

Nonetheless, the results of the SFC test show that for the fats concerned one could not predict the success or the observed very low solids content of the inventive oil and emulsifier blends; and, the combination of the SFC test results and the evaluations/observations of the inventive shortening in food applications or when allowed to temper are surprising and unexpected, and provide superiority, showing a synergy resulting from the inventive compositions.

Further aspects of the invention are described below:

1. A shortening system comprising an admixture of at least one non-hydrogenated vegetable oil and at least one isolated stearine fraction obtainable from glycerolysis/interesterification of a fat or oil, wherein the isolated stearine fraction has an enhanced concentration of diglycerides.

3. The shortening system of aspect 1 wherein the stearine fraction is derived from a natural food grade fat.

4. The shortening system of aspect 3 wherein the food grade fat is a saturated fat.

5. The shortening system of aspect 3 wherein the food grade fat is a plant fat.

6. The shortening system of aspect 5 wherein

the plant fat is selected from the group consisting of coconut oil, palm oil, palm kernel oil, and fats that have been fully hydrogenated.

7. The shortening system of aspect 6 wherein  
5 the plant fat is palm oil.

9. The shortening system of aspects 1 wherein the vegetable oil is selected from the group consisting of sunflower oil, soybean oil, corn oil, peanut oil, cottonseed oil, safflower oil, and olive oil.

10 10. The shortening system of aspect 9 wherein the vegetable oil is soybean oil.

11. The shortening system of aspects 1 wherein the vegetable oil is present in an amount of approximately 85% to approximately 97% parts by weight.

15 12. The shortening system of aspect 11 wherein the vegetable oil is present in an amount of approximately 88% to approximately 95% parts by weight.

13. The shortening system of aspect 12 wherein the vegetable oil is present in an amount of approximately  
20 90% to approximately 95% parts by weight.

14. The shortening system of aspect 13 wherein the vegetable oil is present in an amount of approximately 92% to 95% parts by weight.

15. The shortening system of aspect 14 wherein  
25 the vegetable oil is present in an amount of approximately 94% of parts by weight.

16. The shortening system of aspect 1 wherein the stearine fraction is present in an amount of approximately 3% to approximately 15% by weight.

17. The shortening system of aspect 16 wherein the stearine fraction is present in an approximately 5% to approximately 12% by weight.

18. The shortening system of aspect 17 wherein  
5 the stearine fraction is present in an amount of approximately 5% to approximately 10% by weight.

19. The shortening system of aspect 18 wherein the stearine fraction is present in an amount of approximately 5% to approximately 8% by weight.

10 20. The shortening system of aspect 19 wherein the stearine fraction is present in an amount of approximately 6% by weight.

21. The shortening system of aspect 1 wherein the isolated stearine fraction has a diglyceride  
15 concentration of about 50-60 mol%.

23. A method for preparing a shortening system comprising: subjecting a triglyceride to glycerolysis/interesterification; isolating a stearine fraction obtainable from the  
20 glycerolysis/interesterification having an enhanced diglyceride concentration, and admixing the isolated stearine fraction having an enhanced diglyceride concentration with vegetable oil.

24. A method for preparing a shortening system  
25 comprising: subjecting a triglyceride to glycerolysis/interesterification to obtain a reaction product; isolating a predominantly diglycerides stearine fraction product from the reaction product; and admixing the isolated predominantly diglycerides stearine fraction



product with vegetable oil.

25. A foodstuff containing or having been prepared with a shortening system as described in aspect 1.

26. The foodstuff of aspect 25 which is a cookie  
5 or cracker.

27. An emulsifier system comprising the shortening system of aspect 1 and an emulsifier.

28. A vehicle for delivery of an emulsifier comprising the shortening system of aspect 1.

10 29. A method for preparing an emulsifier system comprising admixing a shortening system and an emulsifier wherein the shortening system comprises an admixture of at least one non-hydrogenated vegetable oil and at least one isolated stearine fraction obtainable from  
15 glycerolysis/interesterification of a fat or oil, wherein the isolated stearine fraction has an enhanced concentration of diglycerides.

30. A method for preparing a vehicle for delivery of an emulsifier comprising admixing a shortening  
20 system and the emulsifier, wherein the shortening system comprises an admixture of at least one non-hydrogenated vegetable oil and at least one isolated stearine fraction obtainable from glycerolysis/interesterification of a fat or oil, wherein the isolated stearine fraction has an  
25 enhanced concentration of diglycerides.

31. A foodstuff containing or having been prepared with the emulsifier system of aspect 27.

33. The shortening system of aspect 21 wherein the isolated stearine fraction has a diglyceride

concentration of about 55%.

35. The method of aspect 23 wherein the isolating is of a stearine fraction having a diglyceride concentration of about 50-60 mol%.

5 36. The method of aspect 35 wherein the isolating is of a stearine fraction having a diglyceride concentration of about 55 mol%.

37. The method of aspect 24 wherein the isolating is of a stearine fraction having a diglyceride  
10 concentration of about 50-60 mol%.

38. The method of aspect 37 wherein the isolating is of a stearine fraction having a diglyceride concentration of about 55 mol%.

39. The method of aspect 29 wherein the isolated  
15 stearine fraction has a diglyceride concentration of about 50-60 mol%.

40. The method of aspect 39 wherein the isolated stearine fraction has a diglyceride concentration of about 55 mol%.

20 41. The method of aspect 30 wherein the isolated stearine fraction has a diglyceride concentration of about 50-60 mol%.

42. The method of aspect 41 wherein the isolated stearine fraction has a diglyceride concentration of about  
25 55 mol%.

\* \* \*

Having thus described in detail preferred embodiments of the present invention, it is to be

understood that the invention defined by the appended claims is not to be limited by particular details set forth in the above description as many apparent variations thereof are possible without departing from the spirit or  
5 scope thereof.

CLAIMS

1. A shortening system comprising an admixture of at least  
(I) one non-hydrogenated vegetable oil; and  
5 (II) either
  - (i) at least one stearine fraction obtainable from glycerolysis/ interesterification of a fat or oil, or
  - (ii) at least one diglyceride and optionally also at least one monoglyceride comprised predominantly of  
10 saturated acyl fatty acid(s).
2. The shortening system of claim 1 wherein the stearine fraction or the saturated acyl fatty acid is derived from a natural food grade fat.
3. The shortening system of claim 2 wherein the  
15 food grade fat is a saturated fat.
4. The shortening system of claim 2 wherein the food grade fat is a plant fat.
5. The shortening system of claim 4 wherein the plant fat is selected from the group consisting of coconut  
20 oil, palm oil, palm kernel oil, and fats that have been fully hydrogenated.
6. The shortening system of claim 5 wherein the plant fat is palm oil.
7. The shortening system of any one of the  
25 preceding claims wherein the saturated acyl fatty acid(s) is selected from the group consisting of lauric, myristic, palmitic, stearic and combinations thereof.
8. The shortening system of any one of the preceding claims wherein the vegetable oil is selected from

the group consisting of sunflower oil, soybean oil, corn oil, peanut oil, cottonseed oil, safflower oil, and olive oil.

9. The shortening system of claim 8 wherein the  
5 vegetable oil is soybean oil.

10. The shortening system of any one of the preceding claims wherein the vegetable oil is present in an amount of approximately 85% to approximately 97% parts by weight.

10 11. The shortening system of claim 10 wherein the vegetable oil is present in an amount of approximately 88% to approximately 95% parts by weight.

12. The shortening system of claim 11 wherein the vegetable oil is present in an amount of approximately  
15 90% to approximately 95% parts by weight.

13. The shortening system of claim 12 wherein the vegetable oil is present in an amount of approximately 92% to 95% parts by weight.

14. The shortening system of claim 13 wherein  
20 the vegetable oil is present in an amount of approximately 94% of parts by weight.

15. The shortening system of any one of the preceding claims wherein the stearine fraction or the saturated acyl fatty acid(s) is present in an amount of  
25 approximately 3% to approximately 15% by weight.

16. The shortening system of claim 15 wherein the stearine fraction or the saturated acyl fatty acid(s) is present in an approximately 5% to approximately 12% by weight.

17. The shortening system of claim 16 wherein the stearine fraction or the saturated acyl fatty acid(s) is present in an amount of approximately 5% to approximately 10% by weight.

5 18. The shortening system of claim 17 wherein the stearine fraction or the saturated acyl fatty acid(s) is present in an amount of approximately 5% to approximately 8% by weight.

10 19. The shortening system of claim 18 wherein the stearine fraction or the saturated acyl fatty acid(s) is present in an amount of approximately 6% by weight.

20. The shortening system of any one of the preceding claims wherein the stearine fraction or the saturated acyl fatty acid(s) has an enhanced concentration  
15 of diglycerides.

21. A method for preparing a shortening system as claimed in any one of the preceding claims comprising admixing the stearine fraction or the saturated acyl fatty acid(s) with vegetable oil.

20 22. A method for preparing a shortening system comprising: subjecting a triglyceride to glycerolysis/interesterification; isolating a stearine fraction obtainable from the glycerolysis/interesterification, optionally a stearine fraction having an enhanced  
25 diglyceride concentration, and admixing the stearine fraction obtainable from the glycerolysis/interesterification of a triglyceride with vegetable oil.

23. A method for preparing a shortening system comprising: subjecting a triglyceride to glycerolysis/

interesterification to obtain a reaction product; optionally separating a predominantly monoglycerides product and a predominantly diglycerides product from the reaction product; optionally isolating a stearine fraction  
5 obtainable from the glycerolysis/interesterification reaction product or from the optional predominantly diglycerides product; and admixing the reaction product or the optional predominantly diglycerides product or the optional stearine fraction with vegetable oil.

10 24. A foodstuff containing or having been prepared with a shortening system as claimed in any one of the claims 1 to 20.

25. The foodstuff of claim 24 which is a biscuit or cracker.

15 26. An emulsifier system comprising the shortening system of any one of claims 1 to 20 and an emulsifier.

27. A vehicle for delivery of an emulsifier comprising the shortening system of any one of claims 1 to  
20 20.

28. A method for preparing the emulsifier system of claim 26 comprising admixing the shortening system and the emulsifier.

29. A method for preparing a vehicle for  
25 delivery of an emulsifier as claimed in claim 27 comprising admixing the shortening system and the emulsifier.

30. A foodstuff containing or having been prepared with the emulsifier system of claim 26.

31. A shortening system as substantially

hereinbefore described with reference to any one of the Examples.

32. A method for preparing a shortening system as substantially hereinbefore described with reference to  
5 any one of the Examples.

33. A foodstuff as substantially hereinbefore described with reference to any one of the Examples.





Application No: GB 9912730.0  
Claims searched: 1-33

Examiner: Dr Paul D Jenkins  
Date of search: 27 October 1999

**Patents Act 1977**  
**Search Report under Section 17**

**Databases searched:**

UK Patent Office collections, including GB, EP, WO & US patent specifications, in:

UK Cl (Ed.Q): A2B (BLF); C5C (CPC, CPF)

Int Cl (Ed.6): A21D 2/16; A23D 9/013

Other: Online: EPODOC, JAPIO, WPI

**Documents considered to be relevant:**

| Category | Identity of document and relevant passage  | Relevant to claims |
|----------|--|--------------------|
| X        | GB 2182942 A (UNILEVER) see whole document, especially the claims  | 1-9, 21-25         |
| X        | GB 0997903 (PROCTOR & GAMBLE) see especially page 1, lines 64-70, page 2, lines 1-25 and the Table           | 1-30               |
| X        | GB 0869614 (UNILEVER) see especially page 2, lines 10-23, lines 32-35 and example 1                          | 1-10, 15, 20-25    |
| X        | GB 0858237 (UNILEVER) see especially page 2, lines 39-75, page 3, lines 18-22, page 5, lines 1-2 and Table V | 1-10, 15, 20-29    |
| X        | GB 0413343 (SWIFT) see especially page 1, lines 95-97 and page 2, lines 52-79                                | 1-8, 20-25         |
| X        | US 4366181 (DIKSHOORN) see especially Table A  | 1-9, 15-18, 21-25  |
| X        | US 3914452 (NORRIS) see especially col.2, lines 11-50, 62-66 and example 1                                   | 1-30               |

X Document indicating lack of novelty or inventive step  
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A Document indicating technological background and/or state of the art  
P Document published on or after the declared priority date but before the filing date of this invention.

E Patent document published on or after, but with priority date earlier than, the filing date of this application.

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